

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Matthew E. Hansen

Appl. No.: 09/907,902

Filed: July 19, 2001

For: **Method for Characterizing Optical
Systems Using Holographic Reticles
(As Amended)**

Confirmation No.: 9945

Art Unit: 2877

Examiner: Lee, Hwa S.

Atty. Docket: 1857.0300001

Sixth Supplemental Information Disclosure Statement

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.

- a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$_____ in payment of the fee under 37 C.F.R. § 1.17(p).

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Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- 6. A concise explanation of the relevance of the non-English language document(s) appears below in accordance with 37 C.F.R. § 1.98(a)(3).
- 7. Copies of documents FP1-FP24 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached IDS Forms are submitted.
- 8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. _____, filed

_____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

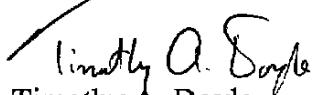
9. It is expected that the examiner will review the prosecution and cited art in the parent application no(s). _____ in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.


Timothy A. Doyle
Attorney for Applicant
Registration No. 51,262

Date: 28 JUL 06

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				Application Number	09/907,902
				Filing Date	July 19, 2001
				First Named Inventor	Matthew E. Hansen
				Art Unit	2877
				Examiner Name	Lee, Hwa S.
Sheet	1	of	3	Attorney Docket Number	1857.0300001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)	MM-DD-YYYY		
	US1	4,560,249	12-24-1985	Nishiwaki et al.	
	US2	4,596,467	06-24-1986	Bartelt	
	US3	4,792,197	12-20-1988	Inoue et al.	
	US4	4,806,454	02-21-1989	Yoshida et al.	
	US5	5,142,385	08-25-1992	Anderson et al.	
	US6	5,414,835	03-09-1995	Iijima	
	US7	5,640,239	06-17-1997	Takamiya et al.	
	US8	5,705,321	01-06-1998	Brueck et al.	
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	US19	Re. 36,113	02-23-1999	Brueck et al.	
	US20	2001/0021487 A1	09-13-2001	Williams et al.	

FOREIGN PATENT DOCUMENTS					
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			
	FP1	EP 0243520 B1	11-27-1991	Makosch	
	FP2	JP 61-190368 A	08-25-1986	Yoshikazu et al.	
	FP3	JP 2000-021716 A	01-21-2000	Fumio	
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	FP5	JP 2000-223400 A	08-11-2000	Yasuyuki	
	FP6	JP 2001-007020 A	01-12-2001	Mitsuo et al.	
	FP7	JP 2001-223149 A	08-17-2001	Yoshihisa	
	FP8	JP 2002-162750 A	06-07-2002	Tomotaka	
	FP9	JP 2004-014866 A	01-15-2004	Hisao et al.	

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US21		2001/0035991 A1	11-01-2001	Hobbs et al.	
US22		2002/0030802 A1	03-14-2002	Sugita et al.	
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US38		2005/0094152 A1	05-05-2005	Allen	
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US40		2005/0168717 A1	08-04-2005	Hinsberg, III et al.	

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FP10		JP 2004-014867 A	01-15-2004	Hisao et al.	
FP11		JP 2004-317922 A	11-11-2004	Shigeto	
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	FP19	JP 6-053122 U	07-19-1994		
	FP20	JP 10-270330 A	10-09-1998	Minoru et al.	
	FP21	KR 9401227 B1	02-17-1994	Kyong-Hyon et al.	
	FP22	WO 98/18049 A1	04-30-1998	Hawryluk et al.	
	FP23	WO 2004/003611 A1	01-08-2004	Sceats et al.	
	FP24	WO 2004/088363 A1	10-14-2004	Rebordao et al.	
	FP25				
	FP26				
	FP27				

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